**Risk Assessment – County**

* Has the County ever managed funds received from TDEC?
  + **YES | NO**
* Outside of the reported information in the County’s Single Audits; to your knowledge have there ever been material observations, performance issues, or deobligations incurred by the County specific to grant funded programs (both State and/or Federal)?
  + **YES | NO**
* Does the County and/or departments have a fraud, waste, and abuse program or strategy?
  + **YES | NO**
  + In the past 2 years have there been any FWA-related issues reported?
  + **YES | NO**
* What is the County’s normal operating budget?
  + **Total Budget: $**
  + **Water Budget: $**
* It appears the County intends to use County funds to provide the program match based on the submitted application. Are you able to confirm if any of these funds originate from other federal award monies?
  + **YES | NO**
    - If so, do you know which awarding agencies?
* Does the County have a proposed staffing plan for grant execution, project management, and oversight?
  + **YES | NO**
* Do any of the proposed staff have direct experience working with federally funded grant projects?
  + **YES | NO**
* Do or will staff anticipated to work on this grant have any relevant certifications (e.g., CPA, Engineering, CFE, Construction Management)?
  + **CMFO**
  + **PE**
  + **LEGAL**
* Does the County have an information system that protects Personally Identifiable Information (PII)?
  + **YES | NO**
  + **NAME OF SYSTEM:**
* Does the County have an accounting system to manage their grant funding?
  + **YES | NO**
* Will staff have any Grants Management and/or Financial Management/Oversight training?
  + **YES | NO**
* Have any of the performing departments mentioned earlier on this call undergone a department-specific financial review?
  + **YES | NO**
* Has, or does the County and/or the performing departments, conduct contract audits?
  + **YES | NO**
* Does the County and/or the performing departments have documented policies and procedures (e.g., purchasing, procurement, contracting, segregation of duties, FWA, etc.)?
  + **YES | NO**
  + If so, does your team know when they last underwent updates or is there a tempo for updating P&Ps?
* Based on the application we see that there are # projects within this SWIG program. Has any type of work been started on any of these projects (solicitations, contract execution, construction, contracted admin work, etc.) that the County intends to see reimbursement from SWIG funds?
  + **YES | NO**
* Please provide a brief summary of the County’s current procurement process.