

# 2024 Utility Technology Conference

Tennessee Association  
of Utility Districts

Vital for Tennessee's future



FOUNDATION  
INSTRUMENTS

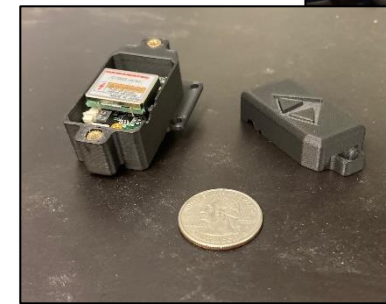
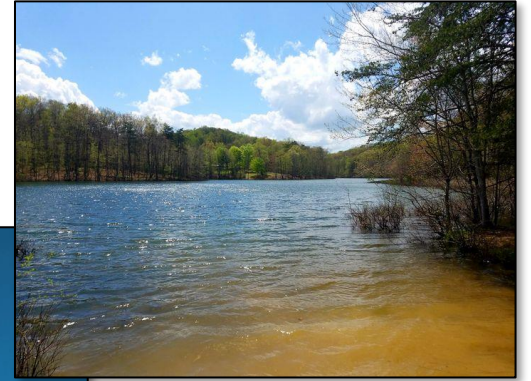
Keep Calm and Carry On...  
Being THM Compliant

March 7, 2024

Paul Brister, Ph.D.

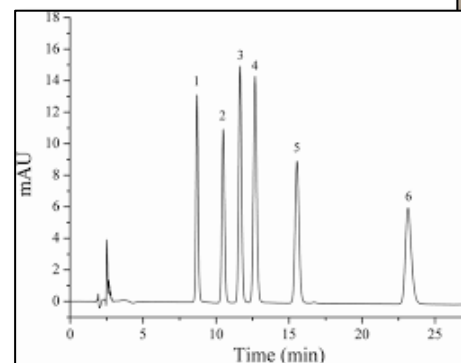
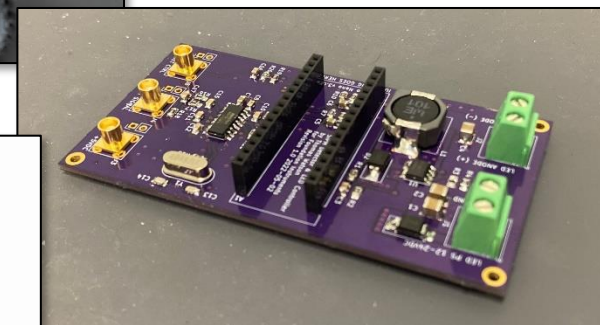
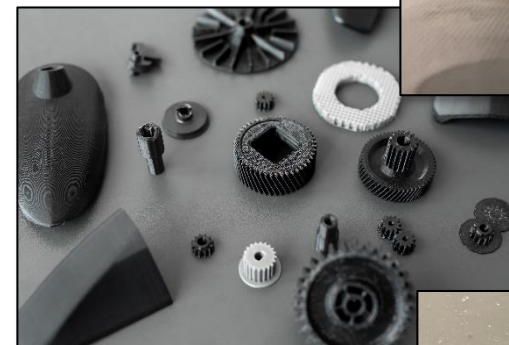
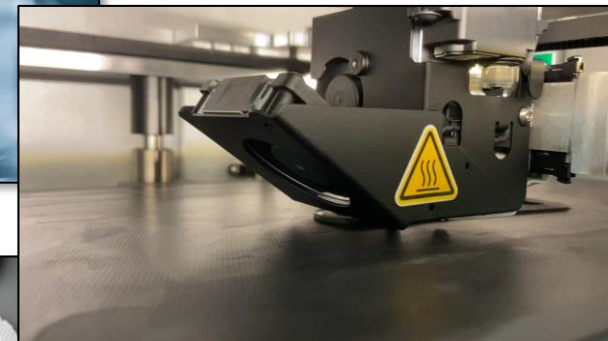
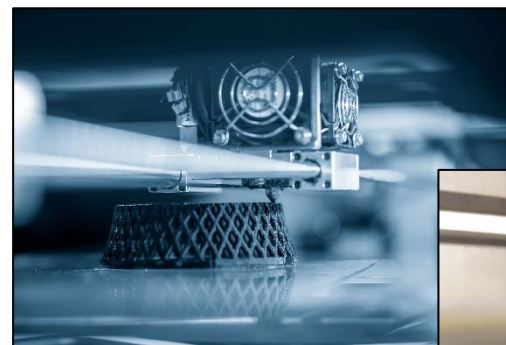
# Foundation Instruments, Inc.

- Formed as a high-tech spinout from the University of Memphis in 2009
- Flagships products include analyzers for on-line, on-site and real-time monitoring of DBPs
- Leverage deep knowledge of drinking water chemistry and instrumentation to help utilities optimize and implement process improvements
- Focus on “making hard measurements easy”
- Diverse technical team including Analytical Chemists, Mechanical, Electrical, Software, and Computer Engineers
- Unique, sustainable business model



# Core Capabilities

- *Instrumentation / Automation*
  - Deep analytical chemistry knowledge
  - Novel detection strategies
  - Expansion into other industries
- *3D Printing*
  - Continue to invest in 3D printing capabilities
  - Customization and optimization
  - Concept through to commercialization
- *Analytical Testing & Process Optimization*
  - Focus on DBP testing and analysis
  - Water treatment process mapping and optimization
- *Innovation*
  - New technology development



# Analyzers for Water Utilities

*Remember... Our Goal is to Make Hard Measurements Easy*

- Product line built around DBP analysis
- Current SBIR Phase II project focused on developing low-cost analyzers to reach small to mid-sized water treatment plants (THM-Meter)
- UofM Partnership



***THM-Rapid Response***



***THM-Meter***

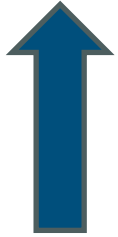


***HAA-Rapid Response***

***EZ-Titrator***

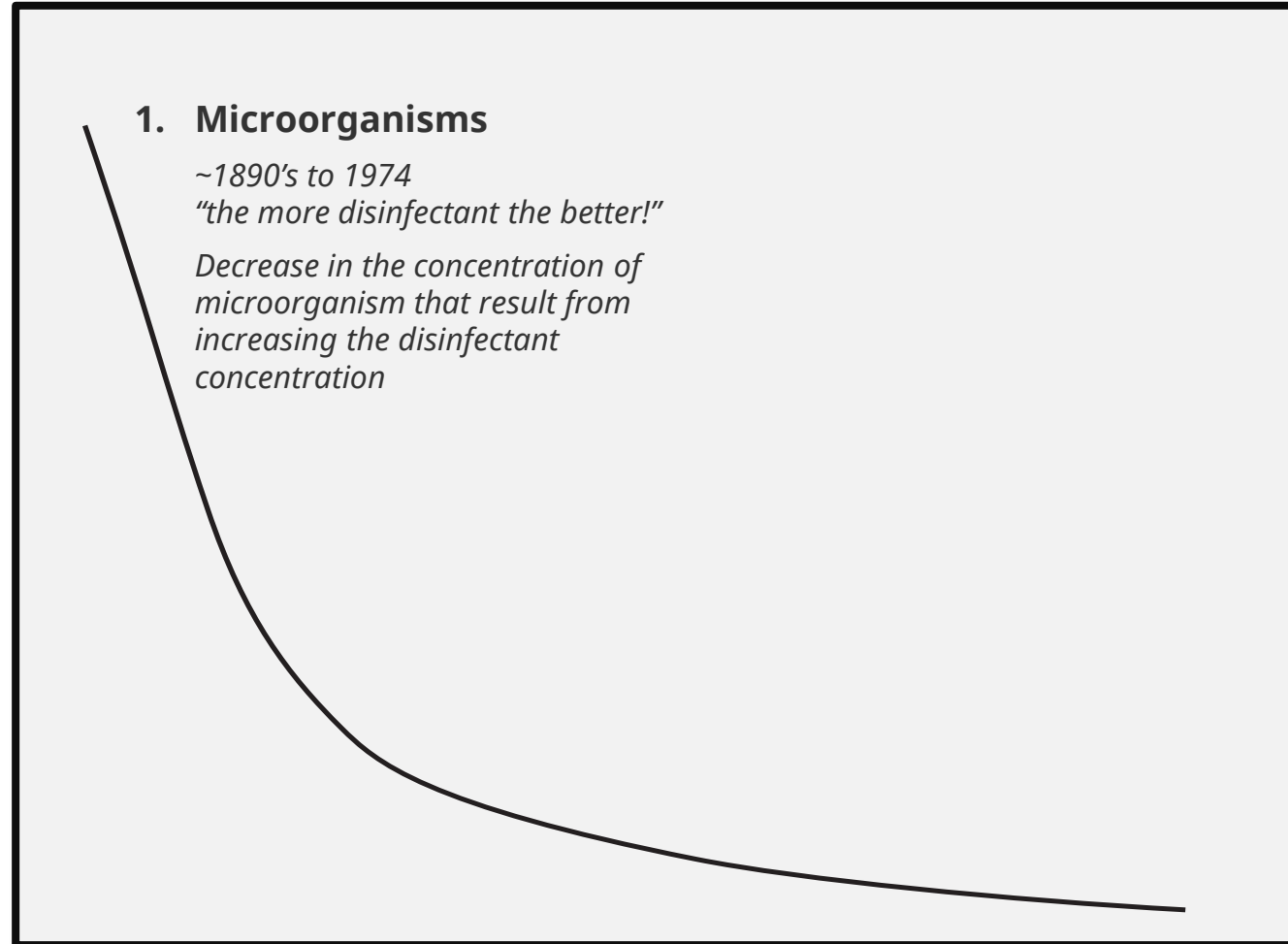
# An Intro to Disinfection By-Products (DBPs)

# Changing Strategies toward Drinking Water Disinfection



**Concentration of Pathogenic Microorganisms**

*(concentration of organism increasing from bottom to top)*

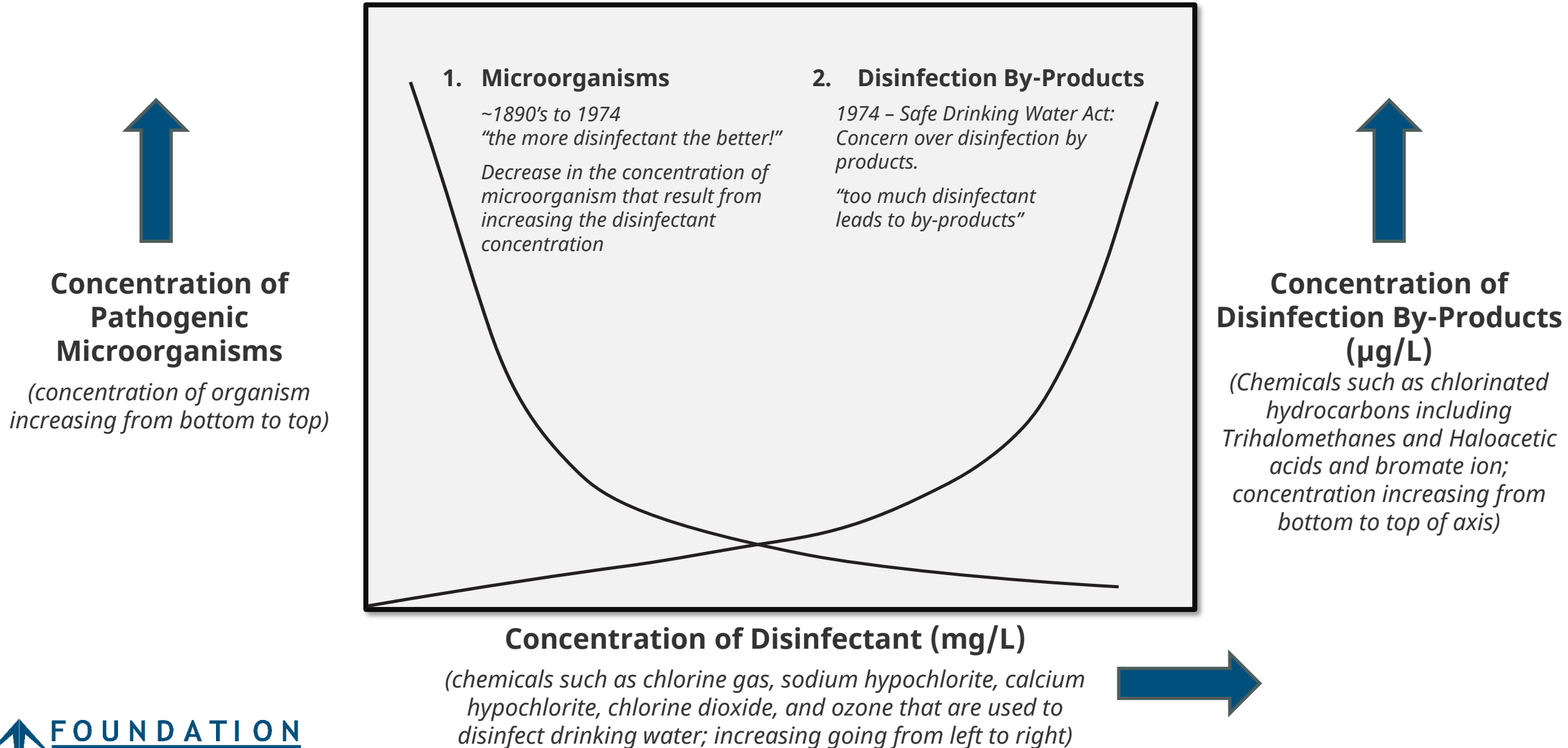


**Concentration of Disinfectant (mg/L)**

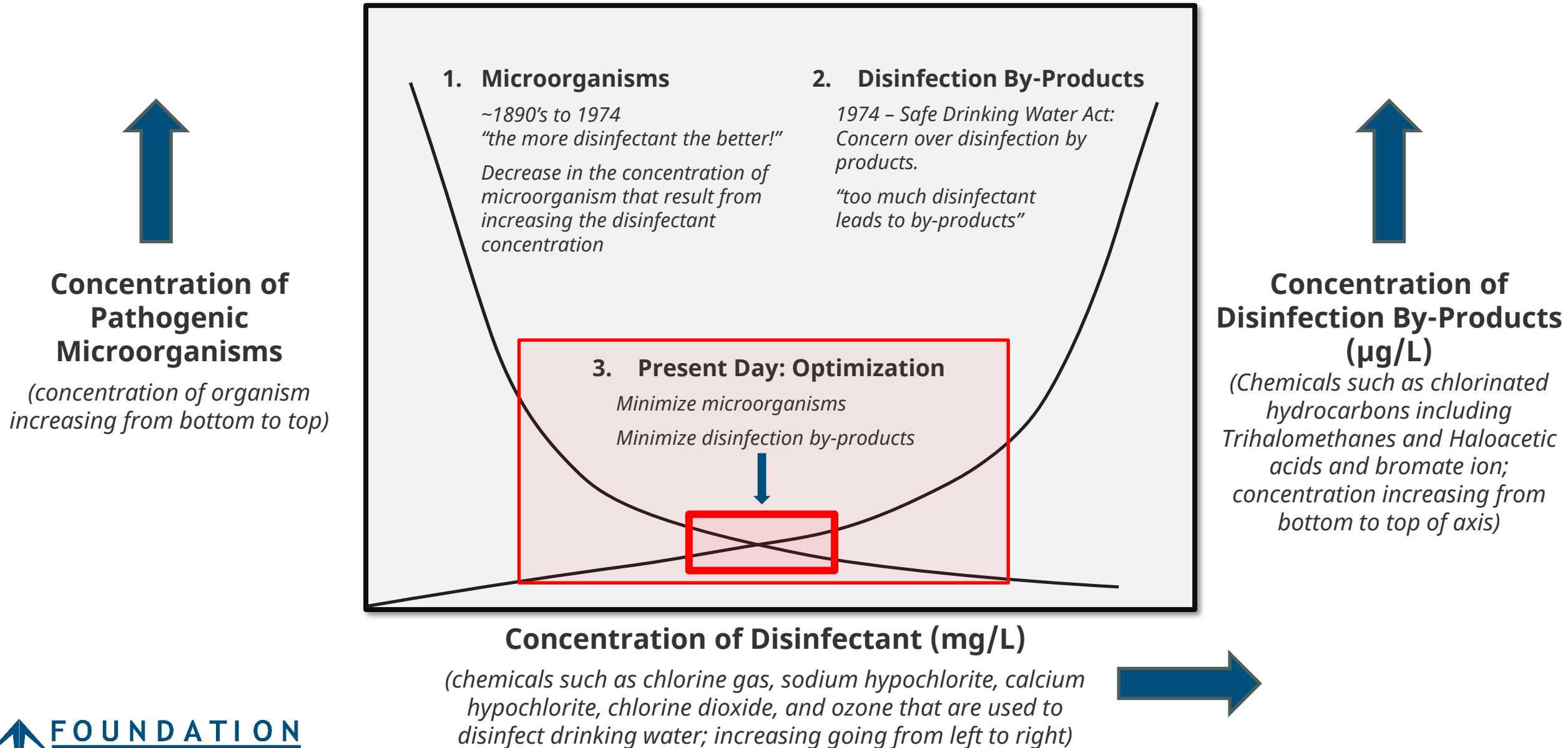
*(chemicals such as chlorine gas, sodium hypochlorite, calcium hypochlorite, chlorine dioxide, and ozone that are used to disinfect drinking water; increasing going from left to right)*



# Changing Strategies toward Drinking Water Disinfection




# Changing Strategies toward Drinking Water Disinfection





# Background on DBPs

- Government regulations with regard to DBPs have become stricter
- Rules require public water systems to comply with maximum contaminant levels (MCLs) and operational evaluation levels (OELs) for DBPs
- Sampling based on source water type, population, and number of treatment plants, or wells
- Many utilities struggle each year to meet these regulations – especially Trihalomethanes (THMs) and Haloacetic Acids (HAAs)



**Comprehensive Disinfectants and Disinfection Byproducts Rules (Stage 1 and Stage 2): Quick Reference Guide**

**Overview of the Rules**

**Title:**

- ▶ Stage 1 Disinfectants and Disinfection Byproducts Rule (Stage 1 DBPR) 63 FR 69390, December 16, 1998, Vol. 63, No. 241
- ▶ Stage 2 Disinfectants and Disinfection Byproducts Rule (Stage 2 DBPR) 71 FR 388, January 4, 2006, Vol. 71, No. 2

**Purpose:** Improve public health protection by reducing exposure to disinfection byproducts. Some disinfectants and disinfection byproducts (DBPs) have been shown to cause cancer and reproductive effects in lab animals and suggested bladder cancer and reproductive effects in humans.

**General Description:** The DBPRs require public water systems (PWSs) to:

- ▶ Comply with established maximum contaminant levels (MCLs) and operational evaluation levels (OELs) for DBPs, and maximum residual disinfection levels (MRDLs) for disinfectant.
- ▶ Conduct an initial evaluation of their distribution system.

In addition, PWSs using conventional filtration are required to remove specific percentages of organic material that may react to form DBPs through the implementation of a treatment technique.

**Utilities Covered:** The DBPRs apply to all sizes of community water systems (CWSs) and nontransient noncommunity water systems (NTNCWSs) that add a disinfectant other than ultraviolet (UV) light or deliver disinfected water, and transient noncommunity water systems (TNCWSs) that add chlorine dioxide.

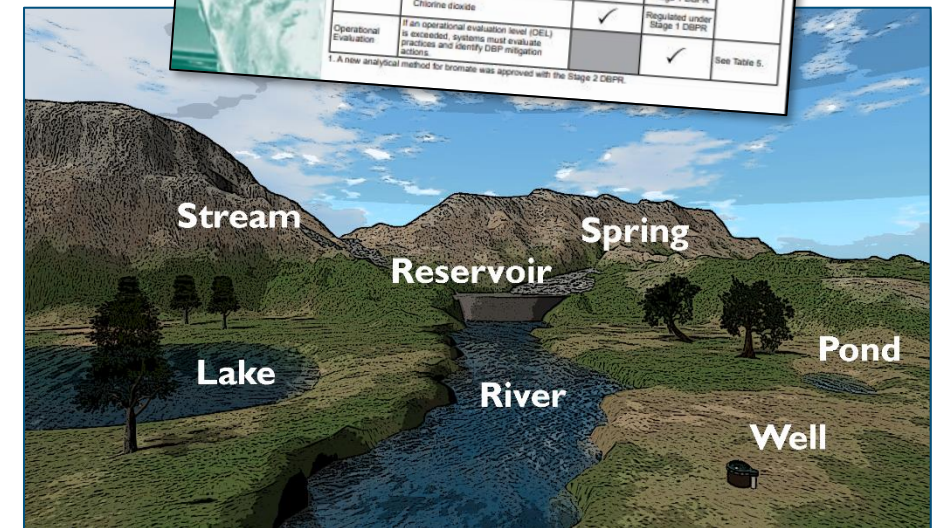
\*This document provides a summary of federal drinking water requirements to ensure full compliance, please consult the federal regulations at 40 CFR 141 and any approved state requirements.

**Overview of Requirements**

This table shows how the requirements for the Stage 2 DBPR build on the existing requirements established in the Stage 1 DBPR. For more information on changes in monitoring requirements, see Table 1.

	Stage 1 DBPR	Stage 2 DBPR	For More Info:
<b>Coverage</b>	All CWSs and NTNCWSs that add disinfectant other than UV light and TNCWSs that treat with chlorine dioxide. Consecutive systems that deliver water treated with a disinfectant other than UV light.	✓	✓
<b>TTHM &amp; HAAs MCL Compliance</b>	MCL compliance is calculated using the running annual average (RAA) of all samples from all monitoring locations across the system.	✓	See Table 3 and Table 4.
<b>Regulated Contaminants &amp; Disinfectants</b>	MCL compliance is calculated using the locational RAA (LRAA) for each monitoring location in the distribution system.	✓	See Table 2.
	Total Trihalomethanes (TTHM)	✓	✓
	5 Haloacetic Acids (pHAAs)	✓	✓
	Bromate	✓	Regulated under Stage 1 DBPR.
	Chlorite	✓	Regulated under Stage 1 DBPR.
	Chloroform	✓	Regulated under Stage 1 DBPR.
<b>Operational Evaluation</b>	Chlorine dioxide	✓	Regulated under Stage 1 DBPR.
	If an operational evaluation level (OEL) is established, systems must evaluate practice and identify DBP mitigation actions.	✓	See Table 5.

1. A new analytical method for bromate was approved with the Stage 2 DBPR.





# Comprehensive Disinfectants and Disinfection Byproducts Rules (Stage 1 and Stage 2): Quick Reference Guide



Overview of the Rules	
Titles*	<ul style="list-style-type: none"> <li>Stage 1 Disinfectants and Disinfection Byproducts Rule (Stage 1 DBPR) 63 FR 69390, December 16, 1998, Vol. 63, No. 241</li> <li>Stage 2 Disinfectants and Disinfection Byproducts Rule (Stage 2 DBPR) 71 FR 388, January 4, 2006, Vol. 71, No. 2</li> </ul>
Purpose	Improve public health protection by reducing exposure to disinfection byproducts. Some disinfectants and disinfection byproducts (DBPs) have been shown to cause cancer and reproductive effects in lab animals and suggested bladder cancer and reproductive effects in humans.
General Description	<p>The DBPRs require public water systems (PWSs) to:</p> <ul style="list-style-type: none"> <li>Comply with established maximum contaminant levels (MCLs) and operational evaluation levels (OELs) for DBPs, and maximum residual disinfection levels (MRDLs) for disinfectant residuals.</li> <li>Conduct an initial evaluation of their distribution system.</li> </ul> <p>In addition, PWSs using conventional filtration are required to remove specific percentages of organic material that may react to form DBPs through the implementation of a treatment technique.</p>
Utilities Covered	The DBPRs apply to all sizes of community water systems (CWSs) and nontransient noncommunity water systems (NTNCWSs) that add a disinfectant other than ultraviolet (UV) light or deliver disinfected water, and transient noncommunity water systems (TNCWSs) that add chlorine dioxide.

\*This document provides a summary of federal drinking water requirements; to ensure full compliance, please consult the federal regulations at 40 CFR 141 and any approved state requirements.

## Overview of Requirements

This table shows how the requirements for the Stage 2 DBPR build on the existing requirements established in the Stage 1 DBPR. For more information on changes in monitoring requirements, see Table 1.

	Stage 1 DBPR	Stage 2 DBPR	For More Info:	
Coverage	<ul style="list-style-type: none"> <li>All CWSs and NTNCWSs that add disinfectant other than UV light and TNCWSs that treat with chlorine dioxide.</li> <li>Consecutive systems that deliver water treated with a disinfectant other than UV light.</li> </ul>	<ul style="list-style-type: none"> <li>✓</li> <li>✓</li> </ul>		
TTHM & HAA5 MCL Compliance	<ul style="list-style-type: none"> <li>MCL compliance is calculated using the running annual average (RAA) of all samples from all monitoring locations across the system.</li> <li>MCL compliance is calculated using the locational RAA (LRAA) for each monitoring location in the distribution system.</li> </ul>	<ul style="list-style-type: none"> <li>✓</li> <li>✓</li> </ul>	See Table 3 and Table 4.	
Regulated Contaminants & Disinfectants	<b>Contaminants</b>			
	Total Trihalomethanes (TTHM)	✓	✓	See Table 2.
	5 Haloacetic Acids (HAA5)	✓	✓	
	Bromate	✓	Regulated under Stage 1 DBPR <sup>1</sup>	
	Chlorite	✓	Regulated under Stage 1 DBPR	
<b>Disinfectants</b>				
Chlorine/chloramines	✓	Regulated under Stage 1 DBPR		
Chlorine dioxide	✓	Regulated under Stage 1 DBPR		
Operational Evaluation	If an operational evaluation level (OEL) is exceeded, systems must evaluate practices and identify DBP mitigation actions.	✓	See Table 5.	

1. A new analytical method for bromate was approved with the Stage 2 DBPR.

Table 1. Changes in Monitoring Requirements

		Stage 1 DBPR	Stage 2 DBPR
TTHM/ HAA5 Routine Monitoring	Number of Samples	Based on source water type, population, and number of treatment plants or wells.	Based on source water type and population.
	Sample Locations	At location of maximum residence time. <sup>1</sup>	Based on Initial Distribution System Evaluation (IDSE) requirements. <sup>2</sup>
	Compliance Calculation	RAA must not exceed the MCL for TTHM or HAA5.	LRAA must not exceed the MCL for TTHM or HAA5.
Reduced Monitoring	Eligibility	TTHM/HAA5	All systems need TTHM RAA ≤ 0.040 mg/L and HAA5 ≤ 0.030 mg/L. Subpart H systems also need source water TOC RAA at location prior to treatment ≤ 4.0 mg/L. <sup>3,4</sup> The Stage 2 DBPR left eligibility unchanged but specifies that Subpart H systems must take source water TOC samples every 30 days. Subpart H systems on reduced monitoring must take source water TOC samples every 90 days to qualify for reduced monitoring.
		Bromate <sup>5</sup>	Source water bromide RAA < 0.05 mg/L. With the Stage 2 DBPR, specified entry point to distribution system bromate RAA ≤ 0.0025 mg/L.

<sup>1</sup>Subpart H systems serving ≥ 10,000 must have at least 25 percent of samples at the location of maximum residence time; the remaining samples must be representative of average residence time.

<sup>2</sup>All systems are required to satisfy their IDSE requirement by July 10, 2010.

<sup>3</sup>Subpart H systems are water systems that use surface water or ground water under the direct influence of surface water (GWUDI).

<sup>4</sup>Ground water systems serving < 10,000 must meet these RAA for 2 years; can also qualify for reduced monitoring if the TTHM RAA is ≤ 0.020 mg/L and a HAA5 RAA ≤ 0.015 mg/L for 1 year.

<sup>5</sup>A new analytical method for bromate was established with the Stage 2 DBPR.

Table 2. Regulated Contaminants and Disinfectants

Regulated Contaminants	Stage 1 DBPR		Stage 2 DBPR	
	MCL (mg/L)	MCLG (mg/L)	MCL (mg/L)	MCLG (mg/L)
TTHM	0.080	-	Unchanged <sup>2</sup>	-
Chloroform	-	-	-	0.07
Bromodichloromethane	-	Zero	-	Unchanged <sup>2</sup>
Dibromochloromethane	-	0.06	-	Unchanged <sup>2</sup>
Bromoform	-	Zero	-	Unchanged <sup>2</sup>
HAA5	0.060	-	Unchanged <sup>2</sup>	-
Monochloroacetic acid	-	-	-	0.07
Dichloroacetic acid	-	Zero	-	Unchanged <sup>2</sup>
Trichloroacetic acid	-	0.3	-	0.2
Bromoacetic acid	-	-	-	-
Dibromoacetic acid	-	-	-	-
Bromate (plants that use ozone) <sup>1</sup>	0.010	Zero	Unchanged <sup>2</sup>	Unchanged <sup>2</sup>
Chlorite (plants that use chlorine dioxide)	1.0	0.8	Unchanged <sup>2</sup>	Unchanged <sup>2</sup>
<b>Regulated Disinfectants</b>	<b>MRDL<sup>3</sup> (mg/L)</b>	<b>MRDLG<sup>3</sup> (mg/L)</b>	<b>MRDL (mg/L)</b>	<b>MRDLG (mg/L)</b>
Chlorine	4.0 as Cl <sub>2</sub>	4	Unchanged <sup>2</sup>	Unchanged <sup>2</sup>
Chloramines	4.0 as Cl <sub>2</sub>	4	Unchanged <sup>2</sup>	Unchanged <sup>2</sup>
Chlorine dioxide	0.8	0.8	Unchanged <sup>2</sup>	Unchanged <sup>2</sup>

<sup>1</sup>A new analytical method for bromate was established with the Stage 2 DBPR.

<sup>2</sup>Stage 2 DBPR did not revise the MCL or MRDL for this contaminant/disinfectant.

<sup>3</sup>Stage 1 DBPR included MRDLs and MRDLGs for disinfectants, which are similar to MCLs and MCLGs.

**Table 3. Compliance Determination**

	Stage 1 DBPR	Stage 2 DBPR
TTHM/HAA5	RAA	LRAA
Bromate <sup>1</sup>	RAA	Unchanged <sup>2</sup>
Chlorite	Daily/follow-up monitoring	Unchanged <sup>2</sup>
Chlorine dioxide	Daily/follow-up monitoring	Unchanged <sup>2</sup>
Chlorine/chloramines	RAA	Unchanged <sup>2</sup>
DBP precursors (TOC sample set) <sup>3</sup>	Monthly for TOC and alkalinity	Every 30 days for TOC and alkalinity

<sup>1</sup>A new analytical method for bromate was established with the Stage 2 DBPR.  
<sup>2</sup>Stage 2 DBPR did not change the compliance requirements for this contaminant/disinfectant.  
<sup>3</sup>TOC sample set is comprised of source water alkalinity, source water TOC, and treated TOC.

**Table 4. Compliance with MCLs and MRDLs (Routine Monitoring)**

Contaminant/Disinfectant	Coverage		Stage 1 DBPR		Stage 2 DBPR		
	Source Water	Population	Monitoring Frequency	Total Distribution System Monitoring Locations	Monitoring Frequency	Total Distribution System Monitoring Locations	
TTHM/HAA5	Subpart H	< 500	Per year <sup>2</sup>	1 per treatment plant	Per year <sup>2</sup>	2	
		500 - 3,300				2	
		3,301 - 9,999				4	
		10,000 - 49,000				8	
		50,000 - 249,999				12	
		250,000 - 999,999				16	
	1,000,000 - 4,999,999	4 per treatment plant	Per quarter	20			
	≥ 5,000,000			4			
	< 500			Per year <sup>2</sup>	1 per treatment plant	Per year <sup>2</sup>	2
	500 - 9,999						4
10,000 - 99,999	6						
100,000 - 499,999	8						
Ground water	≥ 500,000	Per quarter	Per quarter	Per quarter	Per quarter		
Bromate <sup>3</sup>	Systems that use ozone as a disinfectant	Monthly	1 at entry point to distribution system	Unchanged <sup>4</sup>	Unchanged <sup>4</sup>		
Chlorite	Systems that use chlorine dioxide as a disinfectant	Daily (at entrance to distribution system); monthly (in distribution system)	1 at entry point to distribution system; 3 in distribution system	Unchanged <sup>4</sup>	Unchanged <sup>4</sup>		
Chlorine dioxide	Systems that use chlorine dioxide as a disinfectant	Daily	1 at entry point to distribution system	Unchanged <sup>4</sup>	Unchanged <sup>4</sup>		
Chlorine/Chloramines	All systems	Same location and frequency as Total Coliform Rule (TCR) sampling		Unchanged <sup>4</sup>	Unchanged <sup>4</sup>		
DBP precursors (TOC sample set) <sup>3</sup>	Systems that use conventional filtration	Monthly	1 per source water source	Unchanged <sup>4</sup>	Unchanged <sup>4</sup>		

<sup>1</sup>All systems must monitor during the month of highest DBP concentrations. Systems on quarterly monitoring, except Subpart H systems serving 500 - 3,300, must take dual sample sets every 90 days at each monitoring location. Systems on annual monitoring and Subpart H systems serving 500 - 3,300 are required to take individual TTHM and HAA5 samples (instead of a dual sample set) at the locations with the highest TTHM and HAA5 concentrations, respectively. If monitoring annually, only one location with a dual sample set per monitoring period is needed if the highest TTHM and HAA5 concentrations occur at the same location and in the same month.  
<sup>2</sup>Ground water systems serving < 10,000 and Subpart H systems serving < 500 must increase monitoring to quarterly if an MCL is exceeded.  
<sup>3</sup>A new analytical method for bromate was established with the Stage 2 DBPR.  
<sup>4</sup>Stage 2 DBPR did not revise the monitoring frequency or location requirements for this contaminant/disinfectant.  
<sup>5</sup>TOC sample set is comprised of source water alkalinity, source water TOC, and treated TOC.



For additional information on the DBPRs:  
 Call the Safe Drinking Water Hotline at 1-800-426-4791; visit the EPA web site at <http://water.epa.gov/drink>; or contact your state drinking water representative.

**Table 5. Operational Evaluation Levels (OELs)**

Applies to:	All systems subject to Stage 2 DBPR monitoring requirements that conduct compliance monitoring and collect samples quarterly.
Purpose of establishing OELs:	To reduce peaks in DBP levels and exposure to high DBP levels.
OEL calculations:	<ul style="list-style-type: none"> <li>Calculated for both TTHMs and HAA5s at each monitoring location using Stage 2 DBPR compliance monitoring results.</li> <li>OEL is determined by the sum of the two previous quarter's TTHM or HAA5 result plus twice the current quarter's TTHM or HAA5 result at that location, divided by four.</li> <li>OEL = (Q1 + Q2 + 2Q3) / 4</li> </ul>
OELs are exceeded:	During any quarter in which the OEL is greater than the TTHM or HAA5 MCL.
If an OEL is exceeded, a system must:	<ul style="list-style-type: none"> <li>Conduct an operational evaluation.</li> <li>Submit a written report of the evaluation to the state no later than 90 days after being notified of the analytical results that caused the exceedance(s).</li> <li>Keep a copy of the operational evaluation report and make it publicly available upon request.</li> </ul>
The operational evaluation must include:	<ul style="list-style-type: none"> <li>An examination of the treatment and distribution systems' operational practices that may contribute to TTHM and HAA5 formation.</li> <li>Steps to minimize future exceedances.</li> </ul>
OEL requirements take effect:	When the system begins compliance monitoring for the Stage 2 DBPR.

**Table 6. Standard Monitoring Compliance Dates**

If You are a System Serving:	Schedule <sup>1</sup>	Begin LRAA TTHM & HAA5 Monitoring By:
At least 100,000 people or part of a combined distribution system (CDS) serving at least 100,000 people.	1	April 1, 2012
50,000 to 99,999 people or part of a CDS serving 50,000 to 99,999 people.	2	October 1, 2012
10,000 to 49,999 people or part of a CDS serving 10,000 to 49,999 people.	3	October 1, 2013
Less than 10,000 people or part of a CDS serving less than 10,000 people.	4	October 1, 2013 <sup>2</sup>

<sup>1</sup>Your schedule is determined by the largest system in your CDS.  
<sup>2</sup>Systems not conducting *Cryptosporidium* monitoring under Long Term 2 Enhanced Surface Water Treatment Rule (LT2ESWTR) must begin LRAA TTHM/HAA5 monitoring by this date. Systems conducting *Cryptosporidium* monitoring under LT2ESWTR must begin LRAA TTHM/HAA5 monitoring by October 1, 2014.

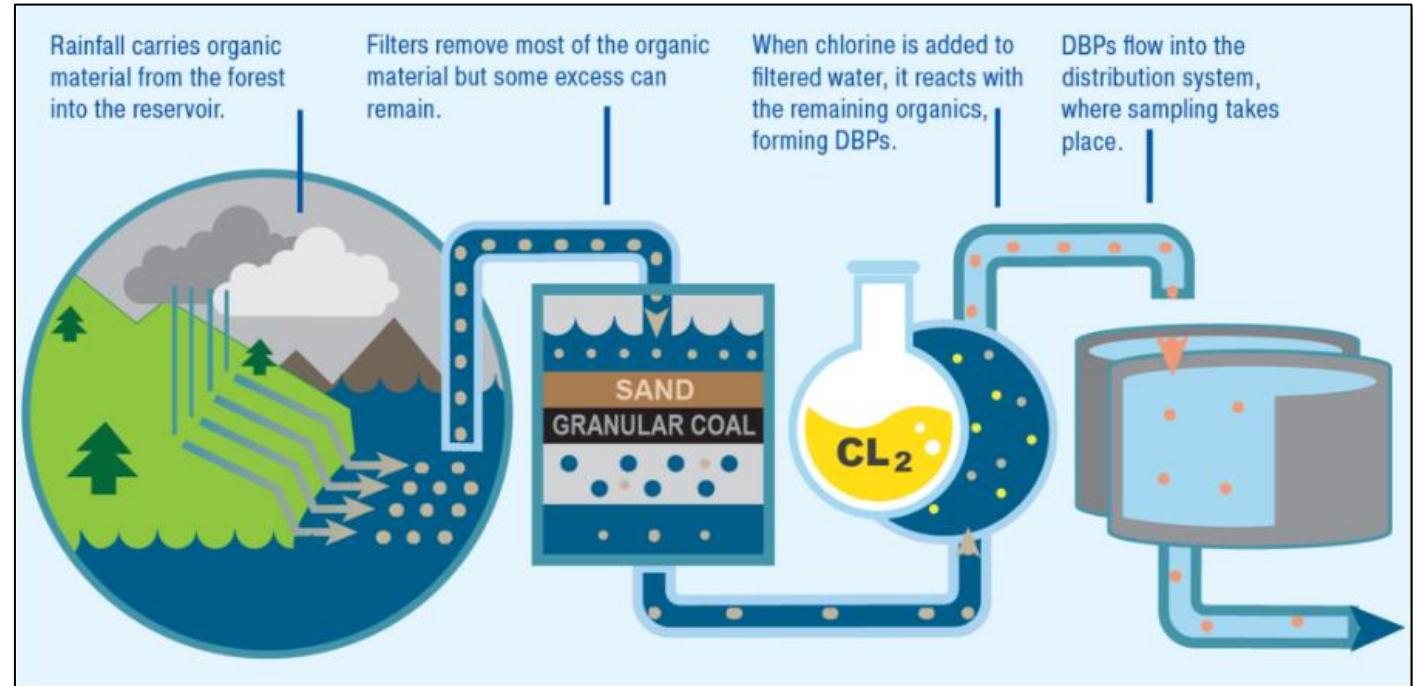
**Table 7. TOC Removal**

Subpart H systems that use conventional filtration treatment are required to remove specific percentages of organic materials, measured as total organic carbon (TOC), that may react with disinfectants to form DBPs. Removal must be achieved through a treatment technique (enhanced coagulation or enhanced softening) unless a system meets alternative criteria. Systems practicing softening must meet TOC removal requirements for source water alkalinity greater than 120 mg/L CaCO<sub>3</sub>.

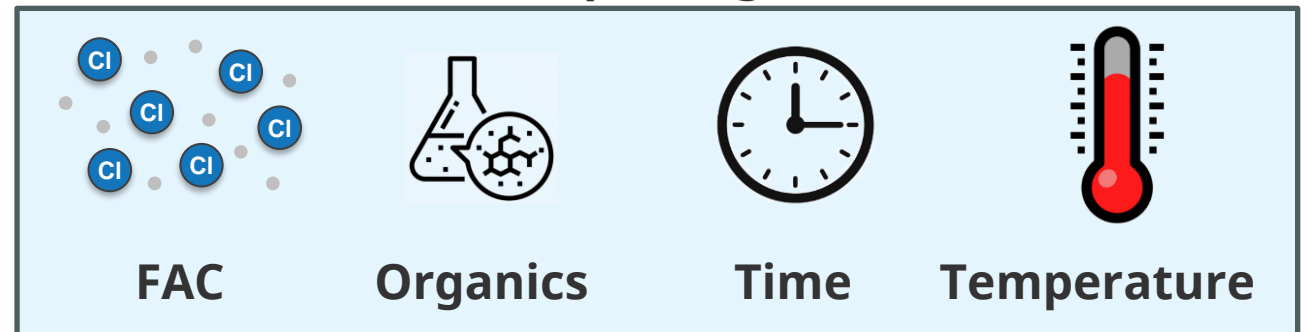
Source Water TOC (mg/L)	Source Water Alkalinity, mg/L as CaCO <sub>3</sub>		
	0 - 60	> 60 to 120	> 120
> 2.0 to 4.0	35.0%	25.0%	15.0%
> 4.0 to 8.0	45.0%	35.0%	25.0%
> 8.0	50.0%	40.0%	30.0%

# What are DBPs and how do they form?

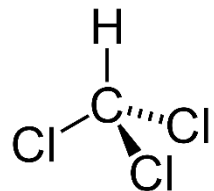
- The DBPs produced depend largely on which chemical disinfectant is used
- Chlorinated water -- (two common classes)
  - Trihalomethanes (THM4)
  - Haloacetic acids (HAA5)
- “Maximum Contaminant Levels” set by USEPA for finished water



## Critical factors impacting THM formation:



# THMs and HAAs



## THM4

*MCL for Total-THM4 = 0.080 mg/L (80 µg/L)*

CHCl<sub>3</sub>  
Chloroform

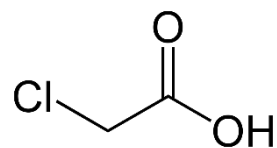
CHBrCl<sub>2</sub>  
Bromodichloro-  
methane

CHBr<sub>2</sub>Cl  
Dibromochloro-  
methane

CHBr<sub>3</sub>  
Bromoform

## HAA5

*MCL for Total-HAA5 = 0.060 mg/L (60 µg/L)*



CH<sub>2</sub>ClCO<sub>2</sub>H  
MCAA

CHCl<sub>2</sub>CO<sub>2</sub>H  
DCAA

CCl<sub>3</sub>CO<sub>2</sub>H  
TCAA

CH<sub>2</sub>BrCO<sub>2</sub>H  
MBAA

CHBr<sub>2</sub>CO<sub>2</sub>H  
DBAA

## HAA9

*HAA5 plus four unregulated, but important HAAs*

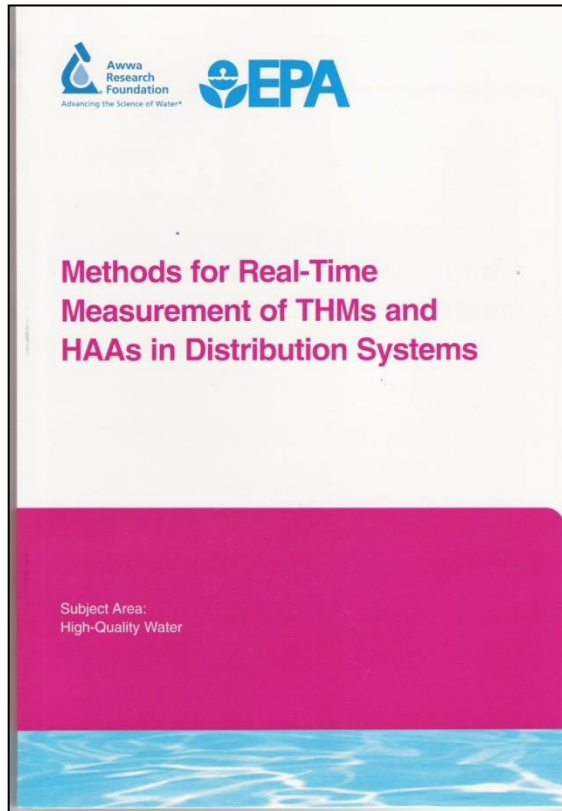
CHBrClCO<sub>2</sub>H  
BCAA

CBrCl<sub>2</sub>CO<sub>2</sub>H  
BDCAA

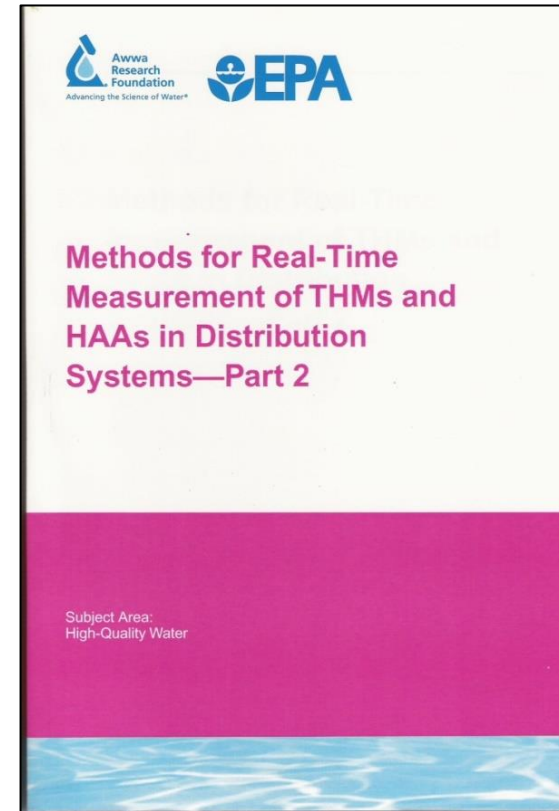
CBr<sub>2</sub>ClCO<sub>2</sub>H  
DBCBA

CBr<sub>3</sub>CO<sub>2</sub>H  
TBAA

# Water Research Foundation Project 2873



Emmert, G.L., Cao, G., Geme, G., Joshi, N. and Rahman, M. (2004) Methods for real-time measurement of THMs and HAAs in distribution systems. AWWARF and AWWA, Denver, CO.



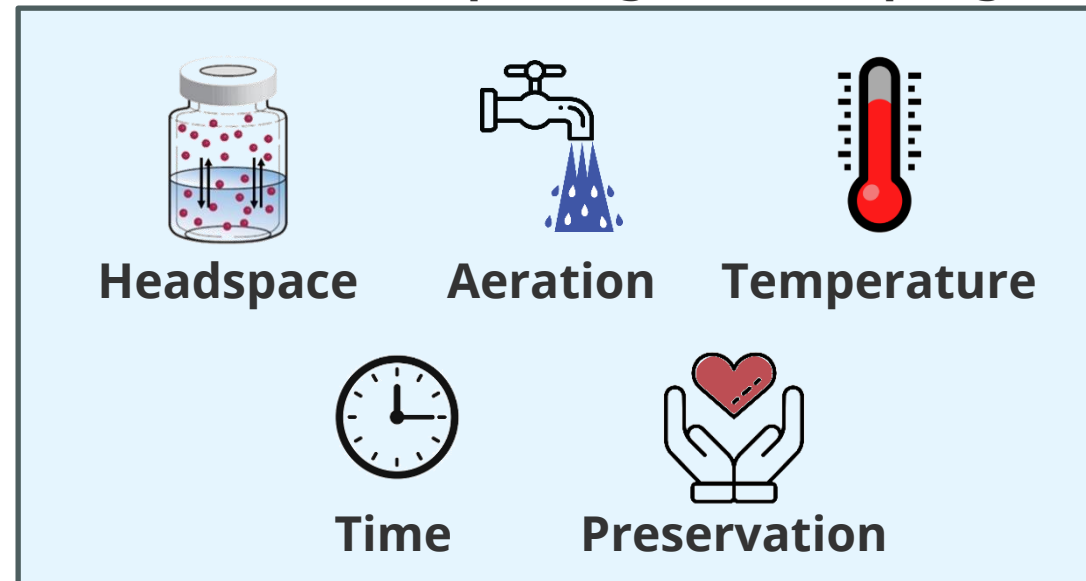
Emmert, G.L., Brown, M.A., Simone, P.S., Geme, G and Cao, G. (2007) Methods for real-time measurement of THMs and HAAs in distribution systems Phase II. AWWARF and AWWA, Denver, CO.

# Does how I collect my THM sample really matter?









**The best analytical tools are essentially worthless if performed on a sample that was improperly collected or handled**

- This is especially true for THMs
- They really don't want to be in water (THMs vs HAAs)
- Think about how you remove THMs?
- I have collected organics and residual chlorine in a bottle...what will happen?

**Critical factors impacting THM sampling:**



# Sampling Procedure Outline

	<p>Sample collection should be done in an area free of excessive dust, rain, snow or other sources of contamination.</p>
	<p>Select a cold water faucet for sampling which is free of screens, aeration devices, hoses, purification devices or swiveled faucets. Faucets with screens and aeration devices can volatilize THMs causing a false reading.</p>
	<p>Run the faucet to thoroughly flush lines. Generally, 2 to 3 minutes will suffice. The water temperature will stabilize which indicates flushing is completed. Once the lines are flushed, reduce the flow to about 500 mL/min (a gentle thin stream).</p>
	<p>Fill the sample vials by running the gentle stream of water along the inner wall of the vial (to minimize the formation of air bubbles). Fill the sample vial approximately three fourths full and add 4 drops of 1:1 HCl. Continue to fill the sample vial to the brim forming a positive (convex) meniscus.</p> <p><b><i>Do not overflow the vial because you will lose the preservatives and possibly compromise your sample!</i></b></p>
	<p>Replace the cap by gently setting it on the water meniscus. The thin Teflon side of the liner should be in contact with the water in the vial. Tighten the cap firmly, but do not overtighten. It is relatively easy to break the neck of the vial by twisting too hard.</p>
	<p>Be sure that there are NO AIR BUBBLES in the sample vials after they are filled and capped. Turn the vial over to check for bubbles. If there is a bubble larger than the size of a small pea, open the vial and add more water.</p>
	<p>Sample collection should be done one vial at a time. Legibly label each vial.      Open → Fill → Close → Label → Repeat</p>
	<p>Place the sample into a cooler with ice for delivery or transport to the laboratory</p>



# What are the Options for Daily Process Control Strategies for WTPs?

- In-House Laboratories
- Contract Labs
- On-Site process control options



**“The only time I have problems with THMs and HAAs is in the summer.”**

*Paraphrased from almost everyone I speak with in the industry.*



# “The only time I have problems with THMs and HAAs is in the summer.”

*Paraphrased from almost everyone I speak with in the industry.*

	<i>Winter</i>		<i>Summer</i>
<b>Total THMs</b>	20 ppb	Assume 1st order kinetics	40 ppb
<b>Temp (°F)</b>	58		76
<b>Temp (°C)</b>	14.4	10°C increase Doubles Rate	24.4

**“The only time I have problems with THMs and HAAs is in the summer.”**

*Paraphrased from almost everyone I speak with in the industry.*

***My thoughts on this –***

- The best time to reduce DBPs (THMs and HAAs) is in the Winter, Spring and Fall quarters
- During these quarters, everything is working in your favor
- During the Summer, the “chemistry” is stacked against you – you are rowing against the stream

# Should I really measure THMs all year?!?

	Winter	Spring	Summer	Fall	LRAA	Goal
<b>THMs</b>	20.0	50.0		47.0		80.0 ppb
<b>HAA5</b>	15.0	37.5		35.3		60.0 ppb

**Table 5. Operational Evaluation Levels (OELs)**

Applies to: All systems subject to Stage 2 DBPR monitoring requirements that conduct compliance monitoring and collect samples quarterly.

To reduce peaks in DBP levels and exposure to high DBP levels, systems shall collect and analyze quarterly TTHM and HAA5 at each monitoring location using Stage 2 monitoring procedures. The OEL is calculated as the average of the two previous quarter's TTHM or HAA5 result plus twice the current quarter's TTHM or HAA5 result at that location, divided by four.

$$OEL = (Q1 + Q2 + 2Q3) / 4$$

During any quarter in which the OEL is greater than the TTHM or HAA5 MCL, the system shall report the evaluation to the state no later than 90 days after the quarter ends. The report shall include the physical results that caused the exceedance(s) and the operational evaluation report and make it publicly available.

**Spring Compliance Dates**

If You are a System Serving:	Schedule <sup>1</sup>	Begin LRAA TTHM & HAA5 Monitoring By:
At least 100,000 people or part of a combined distribution system (CDS) serving at least 100,000 people.	1	April 1, 2012
50,000 to 99,999 people or part of a CDS serving 50,000 to 99,999 people.	2	October 1, 2012
10,000 to 49,999 people or part of a CDS serving 10,000 to 49,999 people.	3	October 1, 2013
Less than 10,000 people or part of a CDS serving less than 10,000 people.	4	October 1, 2013 <sup>2</sup>

<sup>1</sup>Your schedule is determined by the largest system in your CDS.

<sup>2</sup>Systems not conducting Cryptosporidium monitoring under Long Term 2 Enhanced Surface Water Treatment Rule (LT2ESWTR) must begin LRAA TTHM/HAA5 monitoring by this date. Systems conducting Cryptosporidium monitoring under LT2ESWTR must begin LRAA TTHM/HAA5 monitoring by October 1, 2014.

**Table 7. TOC Removal**

Subpart H systems that use conventional filtration treatment are required to remove specific percentage of organic materials, measured as total organic carbon (TOC), that may react with disinfectants to form disinfection byproducts. Removal must be achieved through a treatment technique (enhanced coagulation or enhanced sand filtration) that meets alternative criteria. Systems practicing softening must meet TOC removal requirements if source water alkalinity greater than 120 mg/L CaCO<sub>3</sub>.

Source Water TOC (mg/L)	Source Water Alkalinity, mg/L as CaCO <sub>3</sub>	
	0 - 60	> 60 to 120
> 2.0 to 4.0	35.0%	25.0%
> 4.0 to 8.0	45.0%	35.0%
> 8.0	50.0%	40.0%

# Should I really measure THMs all year?!?

	Winter	Spring	Summer	Fall	LRAA	Goal	
<b>THMs</b>	20.0	50.0	200.0	47.0	79.3	80.0	ppb
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Table 5. Operational Evaluation Levels (OELs)

Applies to: All systems subject to Stage 2 DBPR monitoring requirements that conduct compliance monitoring and collect samples quarterly.

To reduce peaks in DBP levels and exposure to high DBP levels, systems shall monitor THMs and HAA5s at each monitoring location using Stage 2 monitoring. OEL is determined by the average of the two previous quarter's TTHM or HAA5 result plus twice the current quarter's TTHM or HAA5 result at that location, divided by four.

$OEL = (Q1 + Q2 + 2Q3) / 4$

During any quarter in which the OEL is greater than the TTHM or HAA5 MCL, the system shall report the evaluation to the state no later than 90 days after the quarter in which the OEL was calculated. The report shall include the physical results that caused the exceedance(s) and the operational evaluation report and make it publicly available.

Table 6. Monitoring Compliance Dates

If You are a System Serving:	Schedule <sup>1</sup>	Begin LRAA TTHM & HAA5 Monitoring By:
At least 100,000 people or part of a combined distribution system (CDS) serving at least 100,000 people.	1	April 1, 2012
50,000 to 99,999 people or part of a CDS serving 50,000 to 99,999 people.	2	October 1, 2012
10,000 to 49,999 people or part of a CDS serving 10,000 to 49,999 people.	3	October 1, 2013
Less than 10,000 people or part of a CDS serving less than 10,000 people.	4	October 1, 2013 <sup>2</sup>

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Source Water TOC (mg/L)	0 - 60	> 60 to 120
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<b>HAA5</b>	15.0	37.5	150.0	35.3	59.4	60.0	ppb

<b>THMs</b>	117.5	>MCL
<b>HAA5</b>	88.1	>MCL

**Exceeds  
Operational  
Evaluation  
Limit!**

<b>Purpose of establishing OELs:</b>	To reduce peaks in DBP levels and exposure to high DBP levels.
<b>OEL calculations:</b>	<ul style="list-style-type: none"> <li>▶ Calculated for both TTHMs and HAA5s at each monitoring location using Stage 2 DBPR compliance monitoring results.</li> <li>▶ OEL is determined by the sum of the two previous quarter's TTHM or HAA5 result plus twice the current quarter's TTHM or HAA5 result at that location, divided by four.</li> <li>▶ <math>OEL = (Q1 + Q2 + 2Q3) / 4</math></li> </ul>

# Should I really measure THMs all year?!?

	Winter	Spring	Summer	Fall	LRAA	Goal	
<b>THMs</b>	20.0	50.0	200.0	47.0	79.3	80.0	ppb
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<b>THMs</b>	117.5	>MCL
<b>HAA5</b>	88.1	>MCL

**Exceeds  
Operational  
Evaluation  
Limit!**

If an OEL is exceeded, a system must:	<ul style="list-style-type: none"> <li>▶ Conduct an operational evaluation.</li> <li>▶ Submit a written report of the evaluation to the state no later than 90 days after being notified of the analytical results that caused the exceedance(s).</li> <li>▶ Keep a copy of the operational evaluation report and make it publically available upon request.</li> </ul>
The operational evaluation must include:	<ul style="list-style-type: none"> <li>▶ An examination of the treatment and distribution systems' operational practices that may contribute to TTHM and HAA5 formation.</li> <li>▶ Steps to minimize future exceedances.</li> </ul>



# Let's do some basic monitoring and optimization...

	Winter	Spring	Summer	Fall	LRAA	Goal
<b>THMs</b>	20.0	35.0		35.0		80.0 ppb
<b>HAA5</b>	15.0	26.3		26.3		60.0 ppb

**Table 5. Operational Evaluation Levels (OELs)**

Applies to: All systems subject to Stage 2 DBPR monitoring requirements that conduct compliance monitoring and collect samples quarterly.

To reduce peaks in DBP levels and exposure to high DBP levels:

- OEL is calculated as the sum of the quarter's TTHM or HAA5 result plus twice the current quarter's result, divided by four.
- OEL = (Q1 + Q2 + 2Q3) / 4

During any quarter in which the OEL is greater than the TTHM or HAA5 MCL, the system must conduct an operational evaluation.

Report of the evaluation to the state no later than 90 days after the quarter's TTHM or HAA5 result.

Physical results that caused the exceedance(s).

The operational evaluation report and make it publically available.

of the treatment and distribution systems' operational practices that led to TTHM and HAA5 formation.

ize future exceedances.

begins compliance monitoring for the Stage 2 DBPR.

**Table 6. Monitoring Compliance Dates**

If You are a System Serving:	Schedule <sup>1</sup>	Begin LRAA TTHM & HAA5 Monitoring By:
At least 100,000 people or part of a combined distribution system (CDS) serving at least 100,000 people.	1	April 1, 2012
50,000 to 99,999 people or part of a CDS serving 50,000 to 99,999 people.	2	October 1, 2012
10,000 to 49,999 people or part of a CDS serving 10,000 to 49,999 people.	3	October 1, 2013
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Subpart H systems that use conventional filtration treatment are required to remove specific percentage of organic materials, measured as total organic carbon (TOC), that may react with disinfectants to form disinfection byproducts. Removal must be achieved through a treatment technique (enhanced coagulation or enhanced filtration) that meets alternative criteria. Systems practicing softening must meet TOC removal requirements if source water alkalinity greater than 120 mg/L CaCO<sub>3</sub>.

Source Water TOC (mg/L)	0 - 60	> 60 to 120
> 2.0 to 4.0	35.0%	25.0%
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	Winter	Spring	Summer	Fall	LRAA	Goal	
<b>THMs</b>	20.0	35.0	131.0	35.0	55.3	80.0	ppb
<b>HAA5</b>	15.0	26.3	98.3	26.3	41.4	60.0	ppb

## Disinfectants and Disinfection Byproducts Rules (Stage 1 and Stage 2): Quick Reference Guide

**Overview of the Rules**  
 Disinfectants and Disinfection Byproducts Rule (Stage 2 DBPR) 11 FR 308, January 4, 2006, 71 FR 10,000

**Purpose:** Improve public health protection by reducing exposure to disinfection byproducts (DBPs) from drinking water.

**General Description:** This rule sets limits on the amount of disinfectants and disinfection byproducts (DBPs) that can be in drinking water.

## Table 5. Operational Evaluation Levels (OELs)

Applies to: All systems subject to Stage 2 DBPR monitoring requirements that conduct compliance monitoring and collect samples quarterly.

To reduce peaks in DBP levels and exposure to high DBP levels, systems must monitor THMs and HAA5 at each monitoring location using Stage 2 monitoring. OEL is calculated as follows:

- OEL = (Q1 + Q2 + 2Q3) / 4
- OEL = (Q1 + Q2 + 2Q3) / 4

During any quarter in which the OEL is greater than the TTHM or HAA5 MCL, the system must submit a report of the evaluation to the state no later than 90 days after the quarter's TTHM or HAA5 result is available. The report must include the physical results that caused the exceedance(s) and the operational evaluation report and make it publicly available.

## Overview of Requirements for the Stage 2 DBPR

	Stage 1 DBPR	Stage 2 DBPR	For More Info:
<b>Coverage</b>	✓	✓	
<b>TTHM &amp; HAA5 MCL Compliance</b>	✓	✓	See Table 3 and Table 4
<b>Conductivity</b>		✓	
<b>Total Trihalomethanes (TTHM)</b>	✓	✓	
<b>5-Halooxalic Acids (HAA5)</b>	✓	✓	
<b>Bromine</b>	✓	Required under Stage 1 DBPR	
<b>Chlorine</b>	✓	Required under Stage 1 DBPR	
<b>Disinfectants</b>	✓	Required under Stage 1 DBPR	
<b>Chloramines</b>	✓	Required under Stage 1 DBPR	

## Monitoring Compliance Dates

If You are a System Serving:	Schedule <sup>1</sup>	Begin LRAA TTHM & HAA5 Monitoring By:
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<b>HAA5</b>	15.0	26.3	98.3	26.3	41.4	60.0	ppb

<b>THMs</b>	79.3	<MCL
<b>HAA5</b>	59.4	<MCL

**Below  
Operational  
Evaluation  
Limit!**

**No additional Reporting Requirements or Plans**

Hmmmmmm...

But...you are gaming the system...

Nope. I'm following the law as it is written.

The USEPA does not want to set you up to fail. The regulations on THMs and HAAs can be met but it all starts with DAILY PROCESS CONTROL

THMs and HAAs should be monitored just like other parameters – pH, Alk, Hardness, Cl Dose etc.

Hmmmmmm...

Operators need to understand the DAILY “diastole and systole” of their water treatment plant.

Overall Compliance in the LRAA does not depend on the Summer Quarter (when you have little control over events).

The foundation of Overall Compliance in the LRAA is laid in the other three quarters – when you are more in control

During the Winter, Spring and Fall, your mitigation strategies are at peak efficiency – more bang for the buck!

# Need for an on-site THMs analyzer

- Allows for a real-time response
- Proactive intervention
- Control strategies optimized on the fly



# THM-METER™

## *Economical Total THM Analyzer*

**The THM-METER™ analyzer provides an economical means to measure Total THM concentration at your plant or out in the distribution system**

- Technology fills a void for low cost, easy-to-use THM monitoring
- Enables small to medium sized utilities to bring in modern technology to aid in delivering safe drinking water to their customers
- Technology designed to reach the water treatment plants that need it the most!



# THM-METER™

*Economical Total THM Analyzer*



## *Analyzer Specifications:*

**Total THM Concentration:** 10 to 200 ppb

**RSD:** ±5 ppb

**Run Time:** <1 hour

**Reagents:** Reagent A + Reagent B + UHP Water

**Size:** 20 in x 12 in x 11 in (l x w x h)

**Weight:** 29.9 lbs

**Approximate Cost per Sample:** ~\$5 - 7.50/sample

**Sample Prep:** Fill vial with water sample

**Skill Level:** No specialized skills required

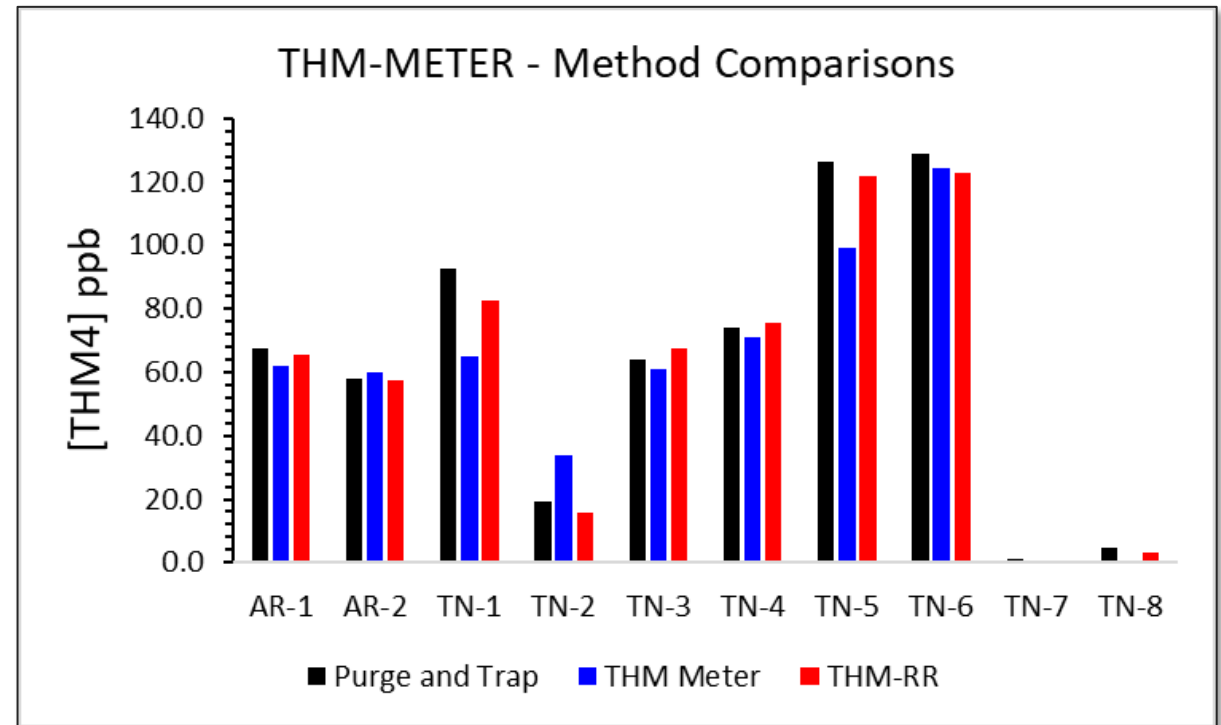
The THM-Meter works by reacting the THMs in the sample with proprietary reagents that generate a fluorescing compound that is proportional to the Total THMs in the sample.



# THM-METER™ Method Comparison

The analyzer performance was compared with the GC-MS purge & trap method and the THM-RR instrument to assess field readiness

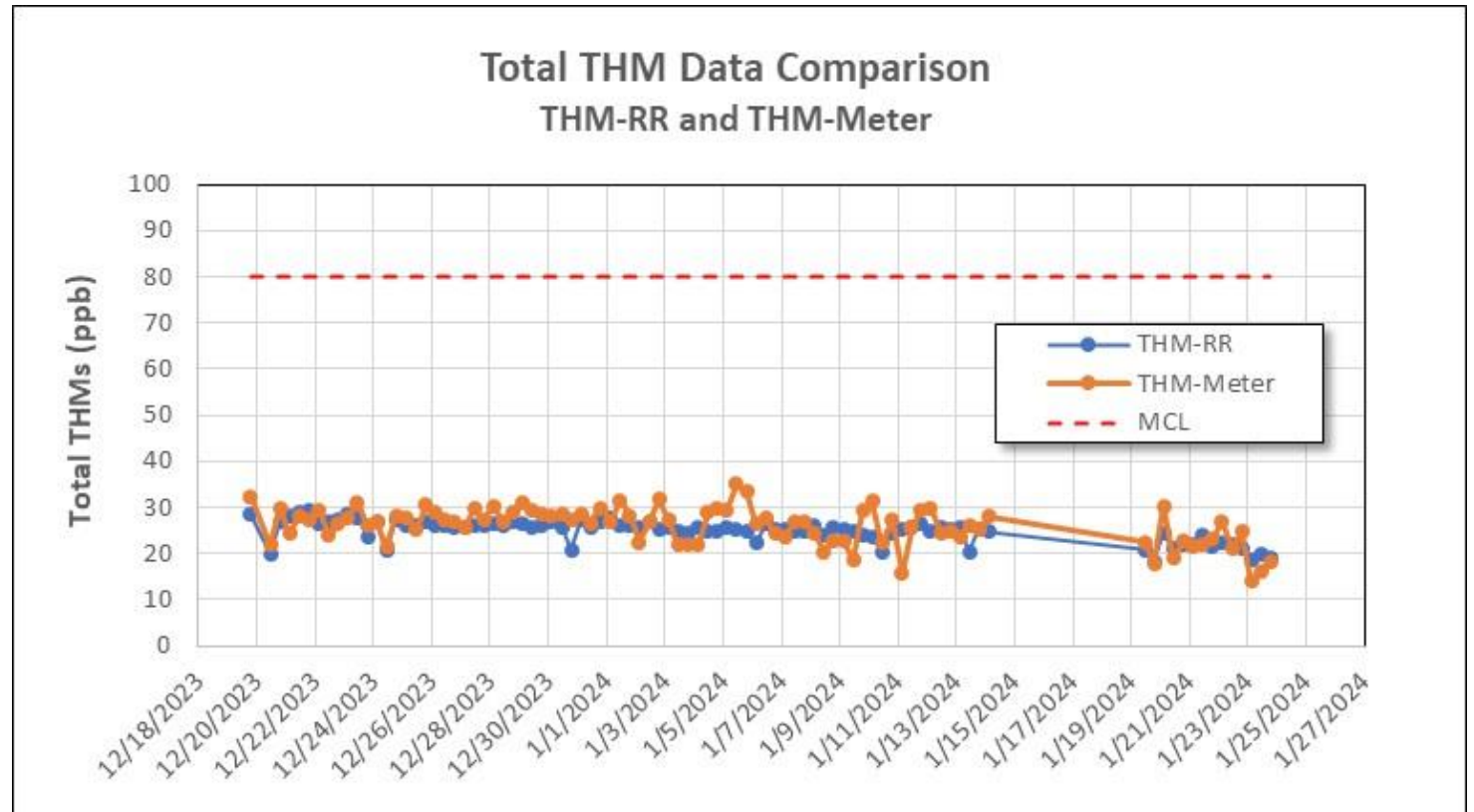
- Samples were collected from multiple locations and different water sources (ground, well, spring)
- Goal was to compare performance across validated THM analysis methods to assess system performance of the THM-METER
- The average bias between the THM-METER and established methods:
  - Purge & Trap: 6.9 ppb
  - THM-RR: 4.1 ppb



# THM-METER™ Method Comparison

The analyzer was compared in online mode side-by-side to the THM-RR instrument to further assess system reliability and performance

- Online sampling 3X daily over 6 weeks
- Sampled from same finished sampling adapter at same time points
- The average bias between the THM-METER and the THM-RR:
  - THM-RR: 1.2 ppb

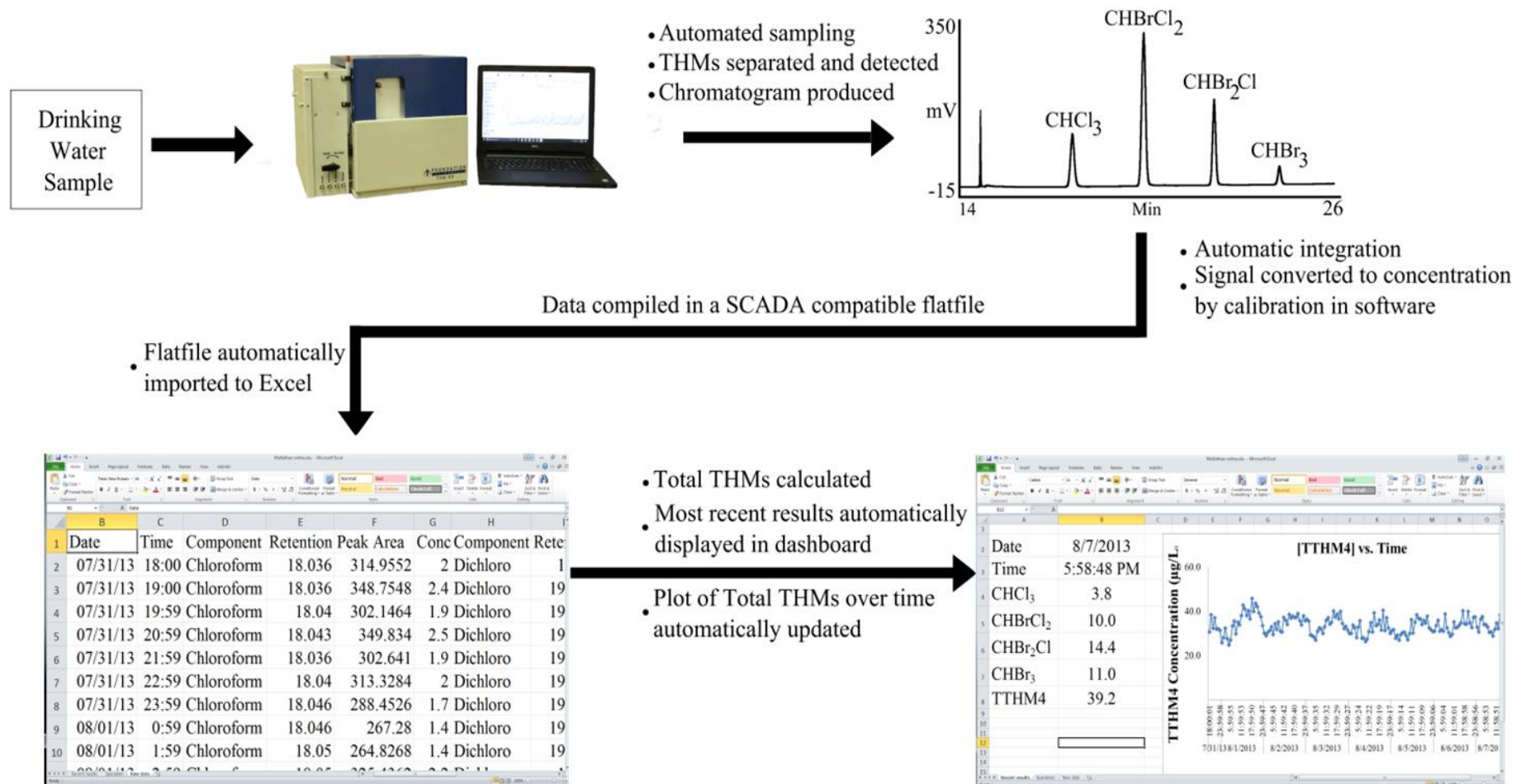


# THM-RR: Trihalomethane Rapid Response System



**Commercialized version of the THM-RR with the RR-AutoCal Autosampler**

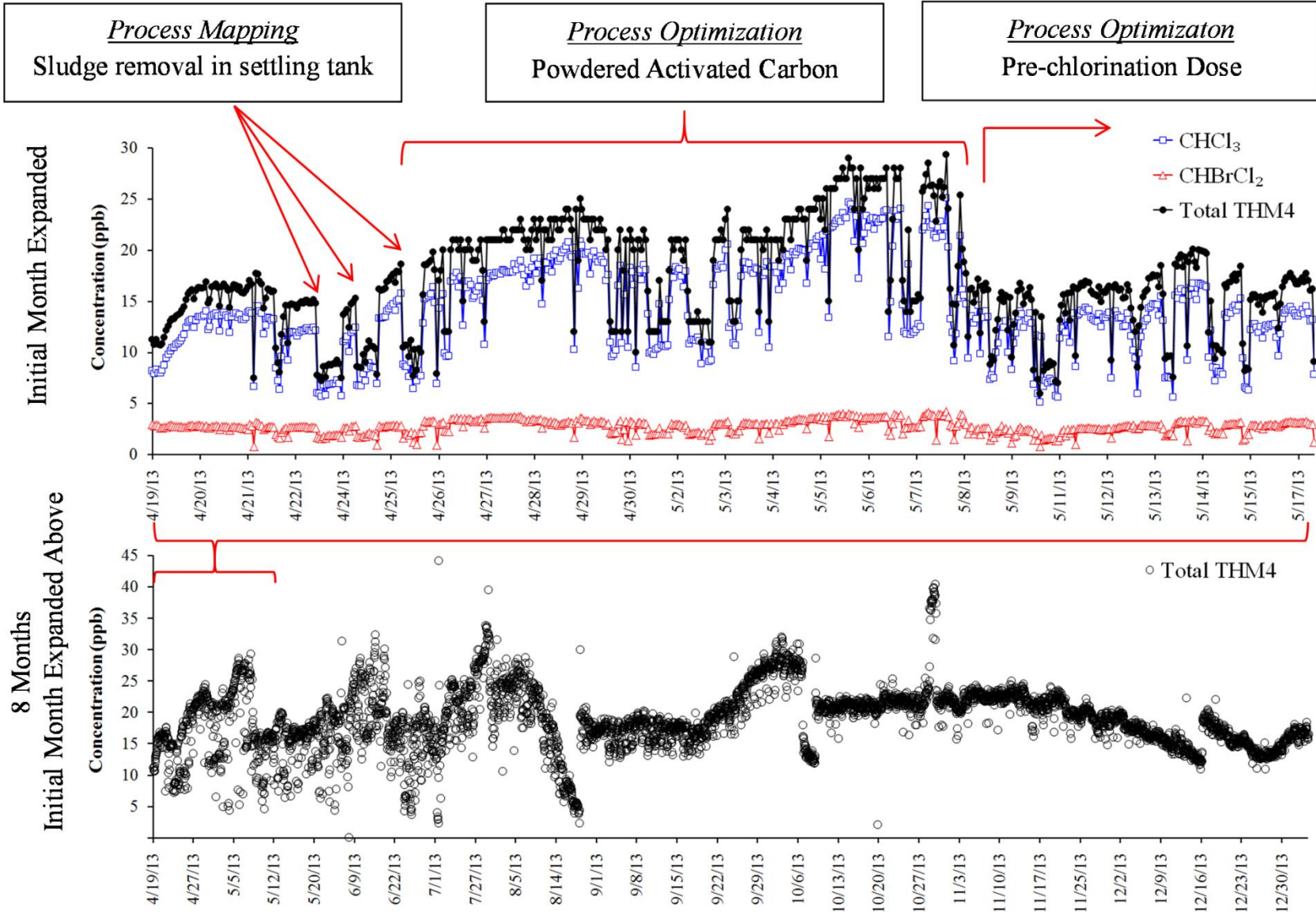
# THM-RR: Fully automated sampling, data collection & reporting



<b>Quantification Range</b>		
chloroform (CHCl <sub>3</sub> )	0.06 – 60 µg·L <sup>-1</sup> (ppb)	
bromodichloromethane (CHBrCl <sub>2</sub> )	0.03 – 60 µg·L <sup>-1</sup> (ppb)	
dibromochloromethane (CHBr <sub>2</sub> Cl)	0.03 – 60 µg·L <sup>-1</sup> (ppb)	
bromoform (CHBr <sub>3</sub> )	0.1 – 60 µg·L <sup>-1</sup> (ppb)	
Total THMs (TTHMs)	0.12 – 240 µg·L <sup>-1</sup> (ppb)	
<b>Accuracy and Precision</b>		
@ 20 µg·L <sup>-1</sup> (ppb)		
	<b>Mean % Recovery</b>	<b>%RSD</b>
chloroform (CHCl <sub>3</sub> )	97	2
bromodichloromethane (CHBrCl <sub>2</sub> )	101	2
dibromochloromethane (CHBr <sub>2</sub> Cl)	97	1
bromoform (CHBr <sub>3</sub> )	103	2
Total THMs (TTHMs)	99	1
@ 0.3 µg·L <sup>-1</sup> (ppb)		
	<b>Mean % Recovery</b>	<b>%RSD</b>
chloroform (CHCl <sub>3</sub> )	85	2
bromodichloromethane (CHBrCl <sub>2</sub> )	81	2
dibromochloromethane (CHBr <sub>2</sub> Cl)	77	1
bromoform (CHBr <sub>3</sub> )	87	5
Total THMs (TTHMs)	83	2

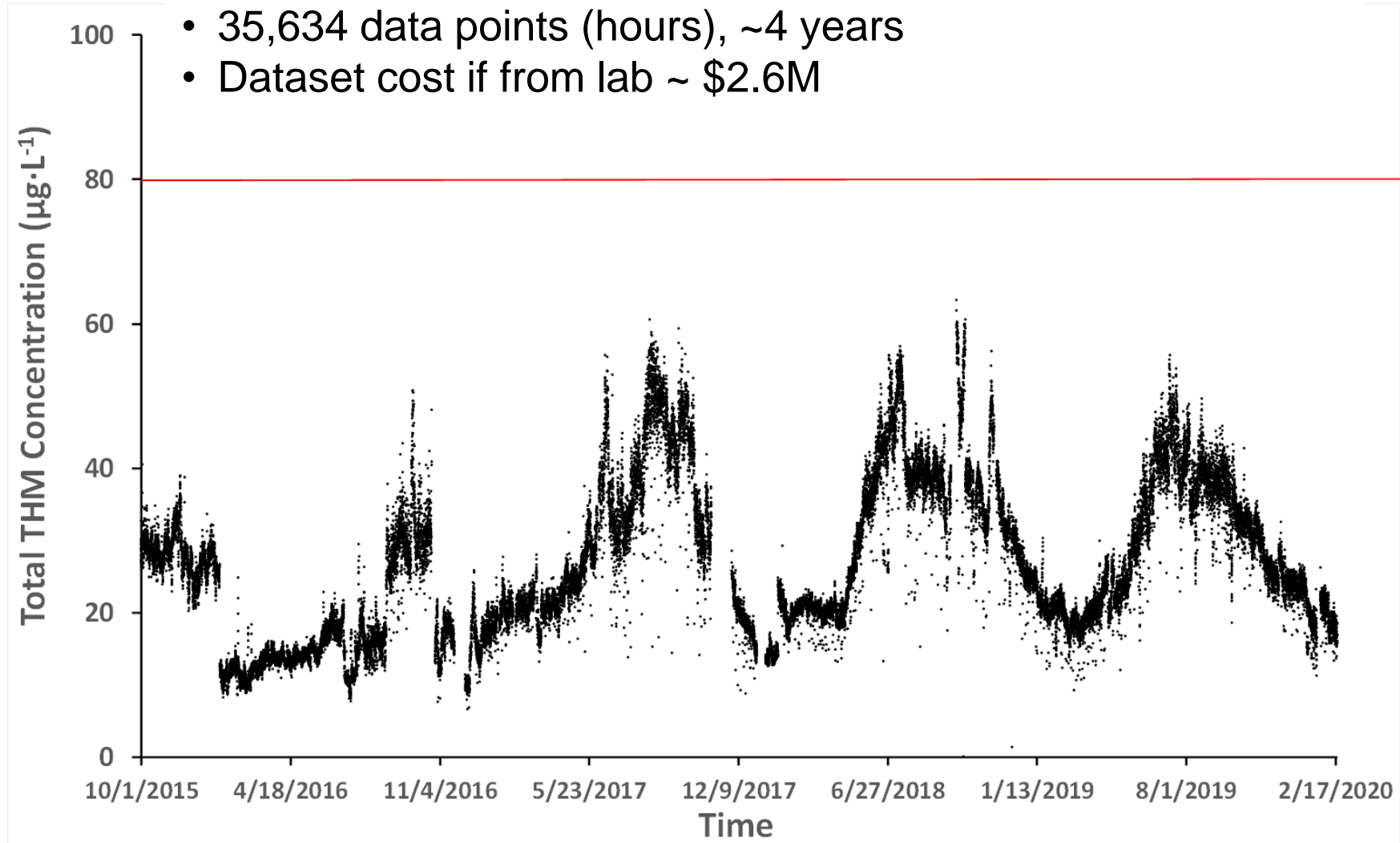
# THM-RR Process Optimization - Lebanon, TN

City of Lebanon WTP, THM-RR Results



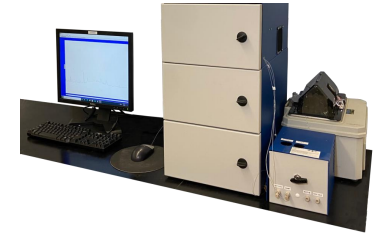
# Extended On-line Monitoring Data (Lebanon, TN WTP)

- On-line monitoring data from Sept. 2015 to Feb. 2020
- 35,634 data points (hours), ~4 years
- Dataset cost if from lab ~ \$2.6M



# HAA-RR™

## Rapid Response Benchtop HAA Analyzer



The rapid response benchtop HAA-RR™ analyzer provides fast, reliable and accurate analysis of individual and total HAA concentrations.

- **Automated Operation**

- Easy to use, results in 80 minutes
- Optional auto sampler provides rapid unattended analysis for up to 12 samples

- **Comprehensive data**

- HAA speciation data provided for HAA5 and HAA9

- **Operational Optimization**

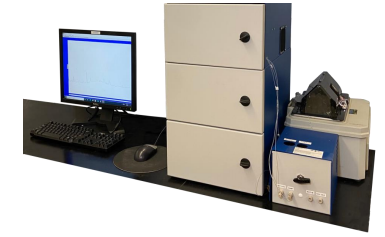
- Replaces reliance on external laboratories for performance control
- Enables near-real time tracking and response for HAA control





# HAA-RR™

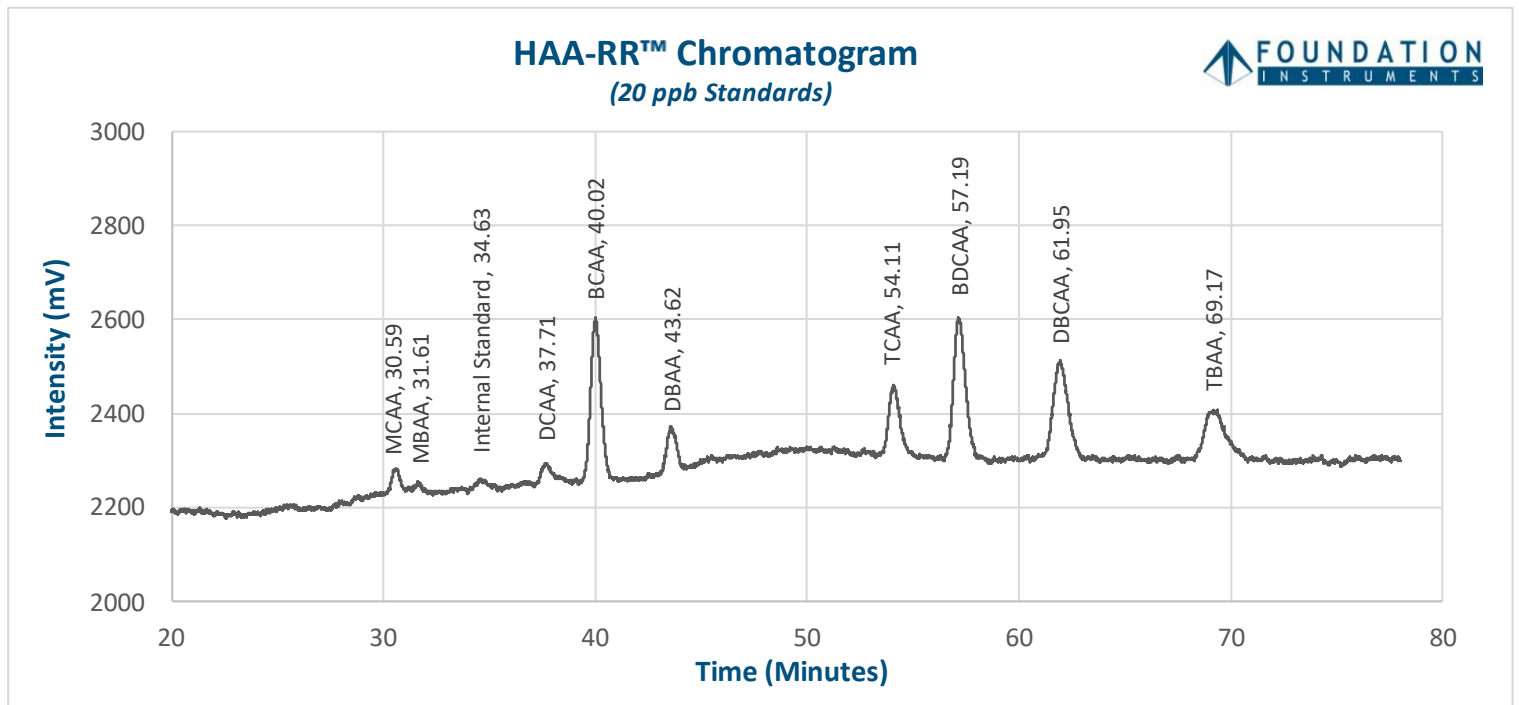
Rapid Response Benchtop HAA Analyzer



The HAA-RR™ system provides a means to collect on-site, near-real time HAA data to help utilities manage DBP levels

## Potential Applications:

- Operators seeking to ensure cost-effective compliance with DBP regulations
- Utilities and engineers looking to undertake rapid evaluation of HAA treatment pilot systems, minimizing cost and time
- Utilities looking to undertake timely acceptance testing of a newly installed HAA treatment system



[CONFIDENTIAL]

# EZ-Titator™

Affordable Automated Titrator & Liquid Handling Devices



## EZ-AutoPipet



- Minimize human error
- Automated separation of standards
- High accuracy & precision
- Use preloaded or custom methods
- Touchscreen interface

## EZ-AutoTitrator



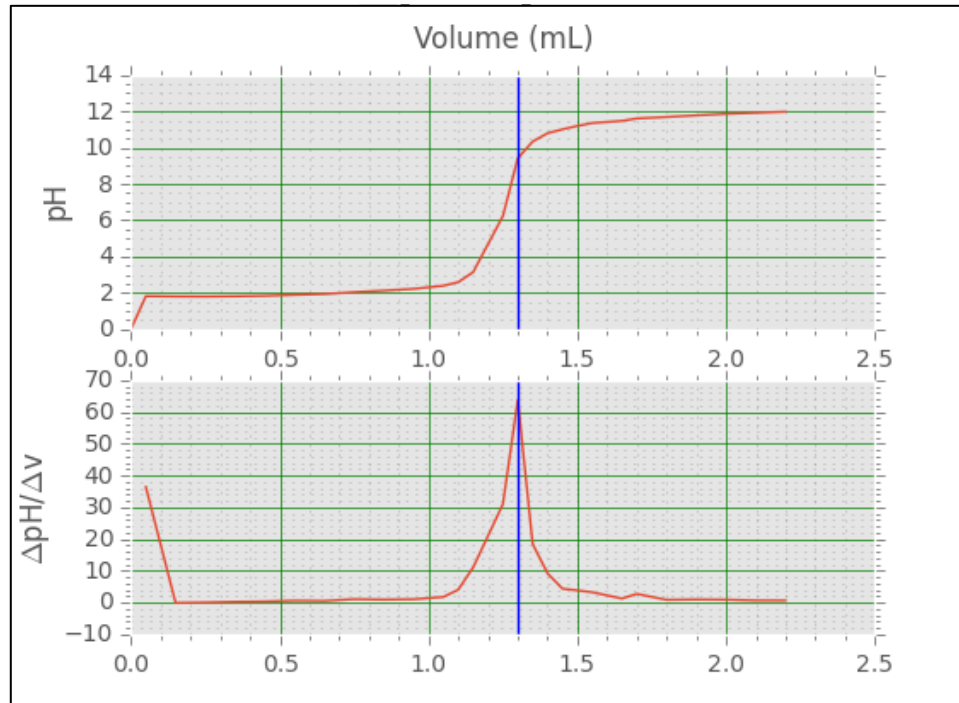
- Easy Alkalinity, Hardness, and pH measurements
- Minimizes human error in titrant delivery/endpoint detection
- Easy-to-read and use touchscreen interface
- Adaptable to other Potentiometric and indicator based titration methods

# EZ-Titrator™

Affordable Automated Titrator & Liquid Handling Devices



## Potentiometric



- pH-based Titration
- To the equivalence point
- Full scale Titration to identify endpoint

## AND

## Colorimetric Titrations



Automated  
Endpoint  
Detection



- Hardness of Water - A classical “Hard to See” endpoint
- Reduces error in titrant delivery/endpoint detection between operators
- Fully automated endpoint detection

# Analytical Testing & Consulting

Need THM/HAA data to better understand your disinfection byproduct levels?

- THM4, HAA5, HAA9  
(EPA & non-EPA methods)
- VOCs (Purge & Trap)
- Chromatography (Liquid & Gas)
- Spectrophotometry
- Ion Chromatography
- Wet Chemistry Techniques
- Jar Testing (drinking water)
- Custom Analysis





# Other Projects and Opportunities

- Developing turn-key new product development support (concept through to commercialization) and additive manufacturing capabilities
- Lead analyzer to aid in the identification of lead service lines – goal is to develop a field deployable analyzer where you could test onsite
- Investigating analysis strategies for the determination of PFAS / PFOA as well as other emerging contaminants
- Seeking funding for automation of noninvasive cancer detection assay using selective biomarkers (partnership with UofM)
- Continue to research improved testing capabilities for disinfection byproducts in drinking water
- Working with the state to aid small utilities to improve DBP monitoring and compliance
- Looking for opportunities to work with other firms where we collaborate and/or develop new technologies to fill technology gaps

**Any Questions?**

## Contact Information



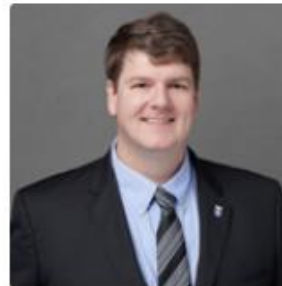
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